

Letter of Instruction

(One Letter of Instruction can be used for transacting in schemes of a single Mutual Fund House only)

Distributor code: HSBCQN

Date: _____

The Manager,

The Hongkong and Shanghai Banking Corporation Limited, India (HSBC India) _____ (Branch)

I/We wish to transact in Mutual Funds (MF) with signing instructions Singly Jointly Either or survivor

Account Number (with HSBC India authorised to debit/credit for MF transactions and fees):

Date of Birth: _____ (Mandatory in case of minor)

Name of the Guardian: _____ (In case 1st applicant/sole applicant is minor)

Power of Attorney (POA) Holder Details

Name of Constituted Attorney _____ PAN of Constituted Attorney

- **I/We confirm that no blank or partially completed documents should be signed and handed over to any employee of HSBC India and I/we shall be solely liable and responsible for misuse of any such blank or partially completed documents and shall not hold HSBC India liable and/or responsible in any manner whatsoever in relation to the same**
- I/We have been informed by the HSBC India staff that a telephonic confirmation on a recorded line may be carried out based on your internal policies before processing my/our MF transaction instruction and I am aware that the instruction will not be processed by HSBC India unless such a telephonic confirmation has been successfully completed
- I/We have been explained the key features and internal risk rating of the products and I'm aware more details of this are available in the HSBC Product Risk Rating (HPRR) document available on the website <http://www.hsbc.co.in/1/2/personal/investments>
- I/We do hereby declare that the amount being invested by me/us in the scheme(s) is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations, statute, legislation, notifications, any other applicable laws, directions issued by any governmental and/or statutory authority from time to time
- I/We have read and understood the terms and conditions for Wealth Management Services of the Bank which are available on <http://www.hsbc.co.in/1/2/personal/investments> and agree to abide by the same

Important information: If investment option is not provided or indicated incorrectly in the below table/tables, 'Growth' option will be the default investment option.

Purchase/Additional Purchase/Redemption

Transaction type	Fund name	Investment option	Folio No. (if existing folio)	₹/Units
<input type="radio"/> Invest in <input type="radio"/> Additional Purchase <input type="radio"/> Redeem		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment		<input type="radio"/> ₹ _____ <input type="radio"/> Units _____ <input type="radio"/> All _____
<input type="radio"/> Invest in <input type="radio"/> Additional Purchase <input type="radio"/> Redeem		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment		<input type="radio"/> ₹ _____ <input type="radio"/> Units _____ <input type="radio"/> All _____
<input type="radio"/> Invest in <input type="radio"/> Additional Purchase <input type="radio"/> Redeem		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment		<input type="radio"/> ₹ _____ <input type="radio"/> Units _____ <input type="radio"/> All _____

Systematic Investment Plan (SIP)/Systematic Withdrawal Plan (SWP)

Transaction type	Fund name	Investment option	Folio No. (if existing folio)	₹/Units	Start date (DD-MM-YYYY)	End date (DD-MM-YYYY)	No. of weeks/months
<input type="radio"/> SIP <input type="radio"/> SWP		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment		<input type="radio"/> ₹ _____ <input type="radio"/> Units _____ <input type="radio"/> All _____			
<input type="radio"/> SIP <input type="radio"/> SWP		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment		<input type="radio"/> ₹ _____ <input type="radio"/> Units _____ <input type="radio"/> All _____			



Switch/Systematic Transfer Plan (STP)

Transaction type	Fund name	Investment option	Folio No. (if existing folio)	₹/Units	Start date (DD-MM-YYYY)	End date (DD-MM-YYYY)	No. of weeks/months
Switch out from		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment		<input type="radio"/> ₹ _____ <input type="radio"/> Units _____ <input type="radio"/> All			
and into		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment					
Switch out from		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment		<input type="radio"/> ₹ _____ <input type="radio"/> Units _____ <input type="radio"/> All			
and into		<input type="radio"/> Growth <input type="radio"/> Dividend Payout <input type="radio"/> Dividend Reinvestment					

Nomination for purchase (not in an existing folio)

Nominee name: _____ Relation with applicant: _____ Address: _____ _____ _____	*If nominee is minor: Date of birth: _____ Name of guardian: _____ Address: _____ _____
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For investment by sole applicant where nomination has not been provided. I do hereby confirm that I do not wish to opt for nomination at this point in time.

I/We understand that:

- The investment holding pattern will be in the order of the customer names appearing in the Letter of Instruction and not as per holding pattern in the designated bank account from which the funds for MF investments have been provided. In case the investment in MF has to be in joint names, then the joint applicant(s) being added to the said investment should not only be the joint bank account holder(s) from where the subscription of the investment had been made, but should also have Wealth Management Relationship with HSBC India
- For all fax/e-mail instructions sent by the Customer to HSBC India, pursuant to executing the QNIS/fax/e-mail indemnity by the Customer, the instructions received by HSBC India by way of facsimile messages shall be deemed to be the original Letter of Instructions and all actions based on the faxed message taken by HSBC India would be binding upon the Customer
- WM services tariff plan:** I/We have read and understood the terms and conditions applicable for the tariff plans for Wealth Management Services available on HSBC India's website and I/we agree to the same. I/We understand that the tariff plans are subject to change and I/we agree to pay the charges as applicable from time to time

I/We have read and understood and reviewed the contents of the Scheme Information Document (SID), Statement of Additional Information (SAI), Offer document, Key Information Memorandum (KIM) and addendums as issued from time to time prior to investing in the MF schemes, The generic Key Features Document (KFD), product risk Rating, important customer information and risk factors of the schemes and agree to abide by and be bound by the terms, conditions, rules and regulations thereof.

The Bank/employee has disclosed to me/us the Schedule of Commissions (in the form of trail commission or any other mode), payable to HSBC India, for the different competing Schemes of various MFs from amongst which the Scheme is being suggested, prior to investing. The same is hosted on HSBC India's website.

1st Applicant Name _____ PAN Signature of 1st Applicant _____ (SV)

2nd Applicant Name _____ PAN Signature of 2nd Applicant _____ (SV)

3rd Applicant Name _____ PAN Signature of 3rd Applicant _____ (SV)

Important Notes on Cut off timings for Mutual Fund transaction instructions:

Equity/Debt Funds: For all valid instructions placed on or before 14:00 Hrs IST of a Business Day (as defined in the Mutual Fund Scheme Information Document - SID), same day NAV shall be applicable. For all valid instructions received post 14:00 Hrs. IST of a Business Day, NAV of next Business Day shall be applicable. In the event of a late receipt of Letter of Instruction or delay in processing your transaction instruction, you will receive a call back to confirm the applicable NAV date for your transaction instruction.

Liquid Funds: For all valid instructions placed on or before 12:00 Hrs. IST of a Business Day, closing NAV of previous Business Day shall be applicable. For all valid instructions received post 12:00 Hrs. IST of a Business Day, closing NAV of the same business day shall be applicable. In the event of a late receipt of Letter of Instruction or delay in processing your transaction instruction, you will receive a call back to confirm the applicable NAV date for your transaction instruction.